

# GAU 2152


Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

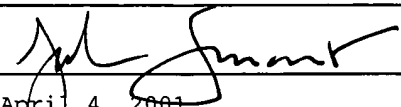
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 <h1 style="text-align: center;">TRANSMITTAL FORM</h1> <p><i>(to be used for all correspondence after initial filing)</i></p>	Application Number	09/703,412	
	Filing Date	October 31, 2000	
	First Named Inventor	Swinton	
	Group Art Unit	2152	
	Examiner Name	Rinehart, M.	
Total Number of Pages in This Submission	9	Attorney Docket Number	LS/0006.00


**RECEIVED**  
APR 11 2001  
Technology Center 2100

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Transmittal Letter with Inventor Declaration/Power of Attorney signed by the inventors
Remarks		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John A. Smart Attorney of record
Signature	
Date	April 4, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:		04/04/2001
Typed or printed name	John A. Smart	
Signature		Date 04/04/2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**FEE TRANSMITTAL**  
**for FY 2001**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 65.00

**Complete if Known**

Application Number	09/703,412
Filing Date	October 31, 2000
First Named Inventor	Swinton
Examiner Name	Rinehart, M.
Group Art Unit	2152
Attorney Docket No.	LS/0006.00

**RECEIVED****APR 11 2001****Technology Center 2100****METHOD OF PAYMENT**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	501386
Deposit Account Name	LightSurf Technologies, Inc.

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	=	X	=
Multiple Dependent	=	X	=

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

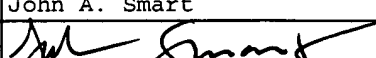
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 310	220 155	Filing a brief in support of an appeal	
121 270	221 135	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,240	241 620	Petition to revive - unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279 355	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 65.00

**SUBMITTED BY**

Name (Print/Type)	John A. Smart	Registration No. (Attorney/Agent)	34,929	Telephone	(408) 395-8819
Signature		Date	04/04/2001		

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PATENT  
Docket No. LS/0006.00

#3  
E. W. W. W.  
4-13-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William G. Swinton et al.

Serial No.: 09/703,412

Filed: October 31, 2000

For: Photo-serving Communication  
Protocols and Methodology for Providing  
Disparate Host Devices with FTP-like  
Access to Digital Images Residing on a  
Digital Camera Device

Examiner: Rinehart, Mark H.

Art Unit: 2152

TRANSMITTAL LETTER:  
TRANSMITTAL OF INVENTOR  
DECLARATION/POWER OF  
ATTORNEY DOCUMENT

RECEIVED  
APR 11 2001  
Technology Center 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants have received a Filing Receipt, mailed February 1, 2001, for the above-referenced application. However, Applicants were also expecting a Notice to File Missing Parts requesting submission of a signed Inventor Declaration. The Notice did not come. Upon contacting the Office of Initial Exam on March 20, 2001, the undersigned learned that the Office had not issued such a Notice and, instead, had transferred the case onto the examining group, initially assigned to Supervisory Primary Examiner Mark Reinhart. An attempt was made to contact Examiner Reinhart that same day, but he was on vacation until April 2, 2001.

Examiner Reinhart was successfully contacted on April 3, 2001. He confirmed that no Notice had issued and advised the undersigned that the Inventor Declaration/Power of Attorney documents should be transmitted to the Office under ordinary cover letter (but not as a "Response to Missing Parts"). Accordingly, enclosed herewith is the Inventor Declaration/Power of Attorney, signed by the inventors, for the present application.

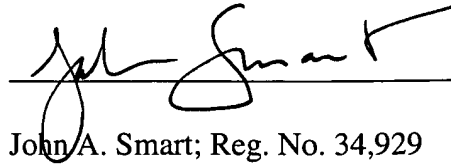
04/10/2001 JADD01 00000122 501386 09703412

01 FC:205 65.00 CH

Additionally, a Fee Transmittal sheet is enclosed herewith authorizing payments of the Missing Parts surcharge under 37 CFR Section 1.16(e). If needed, the undersigned may be reached at (408) 395-8819.

Respectfully submitted,

Date: April 4, 2001

A handwritten signature in black ink, appearing to read "John A. Smart", is written over a horizontal line.

John A. Smart; Reg. No. 34,929  
Attorney of record

708 Blossom Hill Rd., #201  
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(408) 395-8819  
(408) 490-2853 FAX

LS/0006.00